

Application No. 09/608,282

AMENDMENT TO CLAIMS

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Please amend the following claims as indicated:

1. (Canceled)

2. (Currently Amended) A computer-implemented process for identifying security vulnerabilities in a host computer system via a scanner comprising an engine, exploit manager, resource manager, and built-in exploits, comprising including the steps of:

installing an updating a capability of the scanner to conduct vulnerability assessments of the host computer system by obtaining a pluggable express update package,

wherein the update package is configured as an independent plug-in module that is separate from the scanner and communicates with the scanner to support the vulnerability assessments by the scanner, the update package comprising: containing:

an exploit plug-in module containing comprising exploit objects, which contain for exploits that check the a host computer system for at least certain ones of the security vulnerabilities, the exploits representing modifications or updates to the built-in exploits of the scanner;

a resource plug-in module containing comprising resource objects, which contain representing resources that which can be used by the scanner, the resources maintained as resource objects separate from the exploits of the exploit objects to support an independent updating of the resource objects and the exploit objects;

a dat file[[,]] comprising which contains exploit attribute information defining attribute information for the exploits of the exploit plug-in module, the exploit attribute information stored in a file separate from the exploit objects to support an independent updating of the dat file and the exploit objects; and

a help file comprising , which contains on-line help information about the exploits of the exploit plug-in module, the help information stored in a file separate from the exploit objects to support an independent updating of the help file and the exploit objects, on a computer;

supplying the exploit attribute information to an the exploit manager from [[a]] the dat file;

passing the exploit objects and the resource objects information from the exploit manager and the resource manager to an engine of the scanner; and

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executing the exploits of the exploit plug-in module at the scanner.

3. (Currently Amended) The computer-implemented process of claim 2 wherein each of said resources can be assigned a namespace based upon the resource's scope.

4. (Currently Amended) The computer-implemented process of claim 2, wherein the said step of executing exploits comprises ~~includes~~ the steps of:

running standard built-in exploits of the scanner;

running standard plug-in exploits of the pluggable express update package;

running denial of service plug-in exploits of the pluggable express update package; and

running denial of service built-in exploits of the scanner.

5. (Currently Amended) The computer-implemented process of claim 4, wherein said steps of running standard and denial of service built-in exploits of the scanner comprises ~~includes~~ the steps of:

~~having the engine get~~ retrieving one of the built-in exploits at the top of a run-order list maintained by the scanner;

~~having the engine attempt to running~~ the retrieved exploit;

~~if the exploit is run,~~ recording the exploit result information to a database and a scanner log file;

sending the exploit result information to a user interface ~~to display;~~ and

repeating the above steps for the remaining built-in exploits.

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6. (Currently Amended) The computer-implemented process of claim 4, wherein the said steps of running standard and denial of service plug-in exploits of the pluggable express update package comprises includes the steps of:

~~having the plug-in engine make copies of copying from a session object the a master exploit list (a list of exploits and the resources the exploits produce and consume) and a the master resource list(a list of resources and the exploits that produce and consume those resources) from the session object;~~

getting obtaining exploit information from a the scanpolicy object for one of the plug-in the first exploits;

creating a target object and placing putting the exploit information in the target object;

passing the target object to the one of the exploit objects associated with the plug-in exploit;

running the plug-in exploit;

adding exploit result information to the target object;

passing the target object back to a plug-in engine of the scanner;

querying the target object for the exploit result information;

recording the exploit result information to a the scanner log file and sending the exploit result information to a the user interface; and

repeating the above steps for the remaining plug-in exploits.

7. (Currently Amended) The computer-implemented process of claim 6, wherein said step of repeating the above steps for the remaining plug-in exploits comprises includes the steps of:

running a plug-in exploit[[s]] that neither produces nor consumes shared resources;

running a plug-in exploit[[s]] that only produces at least one of the shared resources;

running a plug-in exploit[[s]] that produces and consumes at least one of the shared resources; and

running a plug-in exploit[[s]] that only consumes at least one of the shared resources.

8. (Currently Amended) The computer-implemented process of claim 7, wherein said step of running a plug-in exploit[[s]] that produces and consumes at least one of the shared resources includes further comprises the step of ensuring that all plug-in exploits that produce at least one of the shared producers of resources consumed by the exploit are run before the plug-in exploit that produces and consumes at least one of the shared resources is run.

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9. (Currently Amended) The computer-implemented process of claim 2, further comprising including the step of initializing the [[a]]scanner.

10. (Currently Amended) The computer-implemented process of 9, wherein the step of initializing a scanner includes comprises the steps of:

enumerating the exploit plug-in module[[s]] and the resource plug-in module and objects;
enumerating the exploit objects and the resource objects;
running a load security procedure for the exploit and the resource plug-in modules; and
initializing a policy manager comprising at least one security policy that is retrievable by the engine of the scanner.

11. (Currently Amended) The computer-implemented process of claim 10, wherein the step of initializing a policy manager comprises includes the steps of:

~~asking an exploit manager and a resource manager to identify~~ identifying available exploits and available resources;

~~having the exploit manager and the resource manager query the registry for identifying~~
available exploit objects and the available resource objects corresponding to the available exploits and available resources;

~~having the exploit manager and the resource manager create~~ generating maps that identify indicating which the exploit and the resource plug-in modules containing the available exploit objects and the available resource objects;

~~having a policy manager ask the exploit manager and resource manager for the available~~
exploit objects and common setting resource objects;

creating the available exploit objects and the common-setting resource objects; and

~~having the policy manager query~~ querying the available exploit objects and the common-setting resource objects.

12. (Currently Amended) The computer-implemented process of claim 2, further comprising including the step of getting receiving from a user interface ~~license a list of host computer systems the scan engine is authorized to scan, a list of the exploits for execution by the scanner, policy, and the identity of at least one host computer system to scan for security vulnerabilities information.~~

13-14. (Cancel)

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15. (Currently Amended) The computer-implemented process of claim 2, ~~including comprising the steps of:~~

~~having host-scanning threads querying~~ a session manager for available hosts to scan;

~~having the session manager querying the session objects for one of the next available~~
hosts; and

~~having the session manager returning one of the available hosts to a the host-scanning~~
thread.

16. (Canceled)

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17. (New) A computer-implemented process for identifying security vulnerabilities in a host computer system via a scanner comprising an engine, an exploit manager, a resource manager, standard built-in exploits and denial of service built-in exploits, comprising the steps of:

installing an express update package comprising an exploit plug-in module having exploit objects representing exploits that check the host computer system for vulnerabilities, the exploits comprising standard plug-in exploits and denial of service plug-in exploits; a resource plug-in module having resource objects representing resources for use by the scanner; a dat file comprising exploit attribute information; and a help file comprising on-line help information;

supplying the exploit attribute information from the dat file to the exploit manager of the scanner;

passing information about the exploit objects and resource objects from the exploit manager and the resource manager to the scanner engine;

running the standard built-in exploits and the denial of service built-in exploits by the scanner engine;

running the standard plug-in exploits and the denial of service plug-in exploits by a plug-in engine of the scanner, wherein the step of running the standard plug-in exploits and the denial of service plug-in exploits comprises the steps of:

(a) obtaining copies of a master exploit list and a master resource list from a session object;

(b) obtaining exploit information from a scanpolicy object for an identified one of the plug-in exploits;

(c) creating a target object and placing the exploit information in the target object;

(d) passing the target object to one of the exploit objects corresponding to the identified plug-in exploit;

(e) running the identified plug-in exploit;

(f) adding exploit result information to the target object;

(g) passing the target object to the plug-in engine;

(h) querying the target object for the exploit result information;

(i) recording the exploit result information to a scanner log file and sending the exploit result information to a user interface; and

repeating steps (b) - (i) for each of the remaining standard and denial of service plug-in exploits.

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18. (New) The computer-implemented process of claim 17, wherein repeating the above steps for the remaining standard and denial of service plug-in exploits comprises the steps of:

running standard and denial of service plug-in exploits that neither produce nor consume at least one shared resource;

running standard and denial of service plug-in exploits that only produce at least one shared resource;

running standard and denial of service plug-in exploits that produce and consume at least one shared resource; and

running standard and denial of service plug-in exploits that only consume at least one shared resource.

19. (New) The computer-implemented process of claim 18, wherein said step of running standard and denial of service plug-in exploits that produce and consume at least one shared resource further comprises the step of ensuring that standard and denial of service plug-in exploits that produce at least one shared resource consumed by a particular exploit are run before the particular exploit is run.

20. (New) The computer-implemented process of claim 17 further comprising the steps of:

enumerating the exploit plug-in module and the resource plug-in module and the exploit and the resource objects;

running load security for each of the exploit and resource plug-in modules; and

initializing a policy manager comprising at least one security policy that is retrievable by the engine of the scanner.

21. (New) The computer-implemented process of claim 20, wherein initializing a policy manager comprises the steps of:

identifying available exploits and available resources;

identifying available exploit objects and available resource objects corresponding to the available exploits and available resources; and

generating maps that identify the exploit plug-in module and the resource plug-in module containing the available exploit objects and the available resource objects.

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22. (New) The computer-implemented process of claim 17, further comprising the step of receiving from the user interface a list of host computer systems that the scanner is authorized to scan, a list of exploits to be used to check the host computer system for security vulnerabilities, and the identity of the host computer system.

23. (New) The computer-implemented process of claim 17, comprising the steps of:
querying a session manager for an identity of at least one host computer system to scan;
and
sending the identity of the at least one host computer system to the scanner engine.

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24. (New) A computer-implemented process for identifying security vulnerabilities in a host computer system via a scanner comprising a policy manager, an engine, an exploit manager and a resource manager, comprising the steps of:

- installing an express update package comprising an exploit plug-in module having exploit objects representing exploits that check the host computer system for vulnerabilities, the exploits comprising standard plug-in exploits and denial of service plug-in exploits; a resource plug-in module having resource objects representing resources for use by the scanner; a dat file comprising exploit attribute information; and a help file comprising on-line help information;

- initializing the scanner by completing the following steps:

- enumerating the exploit plug-in module and the resource plug-in module and the exploit and the resource objects;

- running load security for each of the exploit and resource plug-in modules; and

- initializing the policy manager, wherein the step of initializing the policy manager comprises the steps of:

- requesting the exploit manager and the resource manager to identify available ones of the exploits and the resources;

- using the exploit manager and the resource manager to query a registry for available ones of the exploit objects and the resource objects;

- creating maps by the exploit manager and the resource manager, the maps identifying the exploit and resource plug-in modules containing the available exploit objects and the available resource objects;

- issuing a request to the exploit manager and the resource manager to request the available exploit objects and common-setting resource objects;

- returning the available exploit objects and the common-setting resource objects to the policy manager; and

- issuing a query from the policy manager to query the available exploit objects and the common-setting resource objects for corresponding exploit attribute information and resource configuration information;

- supplying the exploit attribute information to the exploit manager from the dat file;

- passing exploit object and resource object information from the exploit manager and the resource manager to the scanner engine; and

- executing the exploits at the scanner engine.

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25. (New) The computer-implemented process of claim 24, further comprising the step of receiving from the user interface a request to scan at least one host computer system for security vulnerabilities, the request comprising:

a list of host computer systems that the scanner is authorized to scan;

a list of exploits to be used to check the host computer system for security vulnerabilities;

and

the identity of at least one host computer system to scan for security vulnerabilities.

26. (New) The computer-implemented process of claim 24, wherein the scanner further comprises built-in exploits comprising standard built-in exploits and denial of service built-in exploits.

27. (New) The computer-implemented process of claim 26, wherein the step of executing exploits at the scanner engine comprises the steps of:

running the standard built-in exploits of the scanner;

running the standard plug-in exploits of the express update package;

running the denial of service plug-in exploits of the express update package; and

running the denial of service built-in exploits of the scanner.

28. (New) The computer-implemented process of claim 27, wherein the steps of running the standard and denial of service built-in exploits of the scanner comprise the steps of:

retrieving one of the built-in exploits at the top of a run-order list maintained by the scanner;

running the retrieved built-in exploit;

recording exploit result information to a database and a log file of the scanner;

sending the exploit result information to a user interface of the scanner; and

repeating the above steps for the remaining built-in exploits.

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29. (New) The computer-implemented process of claim 27, wherein the steps of running the standard and denial of service plug-in exploits comprises the steps of:
creating a target object and placing the exploit attribute information in the target object;
passing the target object to one of the exploit objects;
running one of the plug-in exploits;
receiving exploit result information at the target object in response to running the plug-in exploit;
passing the target object back to the engine of the scanner;
recording the exploit result information to a log file of the scanner and passing the exploit result information to a user interface of the scanner; and
repeating the above steps for the remaining plug-in exploits.

30. (New) The computer-implemented process of claim 29, wherein repeating the above steps for the remaining plug-in exploits comprises the steps of:
running a plug-in exploit that neither produces nor consumes shared resources;
running a plug-in exploit that only produces at least one shared resource;
running a plug-in exploit that produces and consumes at least one shared resource; and
running a plug-in exploit that only consumes at least one shared resource.

31. (New) The computer-implemented process of claim 30, wherein the step of running a plug-in exploit that produces and consumes at least one shared resource further comprises the step of ensuring that the plug-in exploits that produce at least one shared resource consumed by the plug-in exploit are run before the plug-in exploit that produces and consumes at least one shared resource is run.

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32. (New) A computer-implemented process for identifying security vulnerabilities in a host computer system via a scanner comprising an engine, an exploit manager, a resource manager, standard built-in exploits and denial of service built-in exploits, and a user interface, comprising the steps of:

- updating a capability of the scanner to conduct security vulnerability assessments of the host computer system by obtaining an update comprising an exploit plug-in module having exploit objects representing exploits that check the host computer system for vulnerabilities, the exploits comprising standard plug-in exploits and denial of service plug-in exploits; a resource plug-in module having resource objects representing resources for use by the scanner; and a file comprising exploit attribute information;

- installing the update as an independent plug-in for operation in connection with the scanner;

- supplying the exploit attribute information from the update to the exploit manager of the scanner;

- passing information about the exploit objects and resource objects from the exploit manager and the resource manager to the scanner engine;

- running the standard built-in exploits and the denial of service built-in exploits at the scanner engine;

- running the standard plug-in exploits and the denial of service plug-in exploits at a plug-in engine of the scanner, wherein the step of running the standard plug-in exploits and the denial of service plug-in exploits comprises the steps of:

- (a) obtaining copies of a master exploit list and a master resource list;

- (b) obtaining host information and selected ones of the resources for an identified one of the plug-in exploits;

- (c) providing the host information and the selected resources via a target object to one of the exploit objects corresponding to the identified plug-in exploit

- (e) running the identified plug-in exploit at the plug-in engine;

- (f) adding scan result information to the target object in response to running the identified plug-in exploit;

- (g) obtaining the scan result information from the target object for presentation via the user interface of the scanner; and

- repeating steps (b) - (g) for each of the remaining standard and denial of service plug-in exploits.

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33. (New) The computer-implemented process of claim 32, wherein repeating steps (b) – (g) for each of the remaining standard and denial of service plug-in exploits comprises the steps of:

- running standard and denial of service plug-in exploits that neither produce nor consume at least one shared resource;

- running standard and denial of service plug-in exploits that only produce at least one shared resource;

- running standard and denial of service plug-in exploits that produce and consume at least one shared resource; and

- running standard and denial of service plug-in exploits that only consume at least one shared resource.

34. (New) The computer-implemented process of claim 33, wherein said step of running standard and denial of service plug-in exploits that produce and consume at least one shared resource further comprises the step of ensuring that standard and denial of service plug-in exploits that produce at least one shared resource consumed by a particular plug-in exploit are run before the particular plug-in exploit is run.

35. (New) The computer-implemented process of claim 32, further comprising the step of receiving from the user interface:

- a list of host computer systems that the scanner is authorized to scan;

- a list of exploits to be used to check the host computer system for security vulnerabilities, wherein the list comprises a selection of built-in and plug-in exploits, said selection made from the built-in exploits and the master exploit list; and

- the identity of at least one host computer system to scan for security vulnerabilities.

36. (New) The computer-implemented process of claim 32, comprising the steps of:
querying a session manager for an identity of at least one host computer system to scan;
and

- sending the identity of the at least one host computer system to the scanner engine.

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37. (New) The computer-implemented process of claim 32 further comprising the steps of:

- enumerating the exploit plug-in module and the resource plug-in module and the exploit and the resource objects;
- running load security for each of the exploit and resource plug-in modules; and
- initializing a policy manager comprising at least one security policy that is retrievable by the scanner engine.

38. (New) The computer-implemented process of claim 37, wherein initializing a policy manager comprises the steps of:

- identifying available exploits and available resources;
- identifying available exploit objects and available resource objects corresponding to the available exploits and available resources; and
- generating maps that identify the exploit plug-in module and the resource plug-in module containing the available exploit objects and the available resource objects.

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39. (New) A computer-implemented process for identifying security vulnerabilities in a host computer system via a scanner comprising an engine, an exploit manager, a resource manager, standard built-in exploits and denial of service built-in exploits, comprising the steps of:

updating a capability of the scanner to conduct security vulnerability assessments of the host computer system by obtaining an update comprising an exploit plug-in module having exploit objects representing exploits that check the host computer system for vulnerabilities, the exploits comprising standard plug-in exploits and denial of service plug-in exploits; a resource plug-in module having resource objects representing resources for use by the scanner; and a file comprising exploit attribute information;

installing the update as an independent plug-in for operation in connection with the scanner;

supplying the exploit attribute information from the update to the exploit manager of the scanner;

passing information about the exploit objects and resource objects from the exploit manager and the resource manager to the scanner engine;

running the standard built-in exploits and the denial of service built-in exploits at the scanner engine;

running the standard plug-in exploits and the denial of service plug-in exploits at a plug-in engine of the scanner, wherein the step of running the standard plug-in exploits and the denial of service plug-in exploits comprises the steps of:

(a) obtaining copies of a master exploit list and a master resource list;

(b) obtaining host information and selected ones of the resources for an identified one of the plug-in exploits;

(c) providing the host information and the selected resources via a target object to one of the exploit objects corresponding to the identified plug-in exploit

(e) running the identified plug-in exploit at the plug-in engine;

(f) adding scan result information to the target object in response to running the identified plug-in exploit;

(g) obtaining the scan result information from the target object for storage in a scanner log file; and

repeating steps (b) - (g) for each of the remaining standard and denial of service plug-in exploits.

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40. (New) The computer-implemented process of claim 39, wherein repeating steps (b) – (g) for each of the remaining standard and denial of service plug-in exploits comprises the steps of:

- running standard and denial of service plug-in exploits that neither produce nor consume at least one shared resource;

- running standard and denial of service plug-in exploits that only produce at least one shared resources;

- running standard and denial of service plug-in exploits that produce and consume at least one shared resource; and

- running standard and denial of service plug-in exploits that only consume at least one shared resource.

41. (New) The computer-implemented process of claim 40, wherein said step of running standard and denial of service plug-in exploits that produce and consume at least one shared resource further comprises the step of ensuring that standard and denial of service plug-in exploits that produce at least one shared resource consumed by a particular plug-in exploit are run before the particular plug-in exploit is run.

42. (New) The computer-implemented process of claim 39, further comprising the step of receiving from a user interface:

- a list of host computer systems that the scanner is authorized to scan;

- a list of exploits to be used to check the host computer system for security vulnerabilities, wherein the list comprises a selection of built-in and plug-in exploits, said selection made from the built-in exploits of the scanner and the master exploit list; and

- the identity of at least one host computer system to scan for security vulnerabilities.

43. (New) The computer-implemented process of claim 39, further comprising the steps of:

- querying a session manager for an identity of at least one host computer system to scan;

and

- sending the identity of the at least one host computer system to the scanner engine.

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44. (New) The computer-implemented process of claim 39, further comprising the steps of:

enumerating the exploit plug-in module and the resource plug-in module and the exploit and the resource objects;

running load security for each of the exploit and resource plug-in modules; and

initializing a policy manager comprising at least one security policy that is retrievable by the scanner engine.

45. (New) The computer-implemented process of claim 44, wherein initializing a policy manager comprises the steps of:

identifying available exploits and available resources;

identifying available exploit objects and available resource objects corresponding to the available exploits and available resources; and

generating maps that identify the exploit plug-in module and the resource plug-in module containing the available exploit objects and the available resource objects.

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46. (New) A computer-implemented process for identifying security vulnerabilities in a host computer system via a scanner comprising a policy manager, an engine, an exploit manager and a resource manager, comprising the steps of:

- updating a capability of the scanner to conduct security vulnerability assessments of the host computer system by obtaining an update comprising an exploit plug-in module having exploit objects representing exploits that check the host computer system for vulnerabilities, the exploits comprising standard plug-in exploits and denial of service plug-in exploits; a resource plug-in module having resource objects representing resources for use by the scanner; a dat file comprising exploit attribute information; and a help file comprising on-line help information;

- installing the update for use by the scanner;

- initializing the scanner by completing the following steps:

- enumerating the exploit plug-in module and the resource plug-in module and the exploit and the resource objects;

- running load security for each of the exploit and resource plug-in modules; and

- initializing the policy manager, wherein the step of initializing the policy manager comprises the steps of:

- identifying available ones of the exploits and the resources;

- identifying the exploit and resource plug-in modules containing the available ones of the exploit objects and the resource objects corresponding to the available exploits and resources;

- obtaining the available exploit objects and common-setting resource objects; and

- querying the available exploit objects and the common-setting resource objects for corresponding exploit attribute information and resource configuration information;

- supplying the exploit attribute information to the exploit manager from the update

- passing exploit object and resource object information from the exploit manager and the resource manager to the scanner engine; and

- executing the exploits at the scanner engine.

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47. (New) The computer-implemented process of claim 46, further comprising the step of receiving from a user interface a request to scan the host computer system for security vulnerabilities, the request comprising:

- a list of host computer systems that the scanner is authorized to scan,;
- a list of exploits to be used to check the host computer system for security vulnerabilities, the list comprising exploits selected from the available ones of the exploits; and
- the identity of the host computer system to scan for security vulnerabilities.

48. (New) The computer-implemented process of claim 46, wherein the scanner further comprises built-in exploits comprising standard built-in exploits and denial of service built-in exploits.

49. (New) The computer-implemented process of claim 48, wherein the step of executing exploits at the scanner engine comprises the steps of:

- running the standard built-in exploits of the scanner;
- running the standard plug-in exploits of the update;
- running the denial of service plug-in exploits of the update; and
- running the denial of service built-in exploits of the scanner.

50. (New) The computer-implemented process of claim 49, wherein the steps of running the standard and denial of service built-in exploits of the scanner comprise the steps of:

- retrieving one of the built-in exploits from a list of built-in exploits maintained by the scanner;
- running the retrieved built-in exploit against the host computer system;
- recording exploit result information to a database of the scanner;
- sending the exploit result information to a user interface of the scanner; and
- repeating the above steps for the remaining built-in exploits.

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51. (New) The computer-implemented process of claim 46, wherein executing the exploits at the scanner engine comprises the steps of:

- creating a target object and placing the exploit attribute information in the target object;
- passing the target object to one of the exploit objects;
- running one of the plug-in exploits;
- receiving exploit result information at the target object in response to running one of the plug-in exploits;
- passing the target object back to the scanner engine;
- recording the exploit result information to a log file of the scanner and passing the exploit result information to a user interface of the scanner; and
- repeating the above steps for the remaining plug-in exploits.

52. (New) The computer-implemented process of claim 51, wherein repeating the above steps for the remaining plug-in exploits comprises the steps of:

- running a plug-in exploit that neither produces nor consumes shared resources;
- running a plug-in exploit that only produces at least one shared resource;
- ensuring that the plug-in exploits that produce at least one shared resource consumed by the plug-in exploit are run before the plug-in exploit that produces and consumes at least one shared resource is run;
- running a plug-in exploit that produces and consumes at least one shared resource; and
- running a plug-in exploit that only consumes at least one shared resource.

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